



GIG
CYMRU
NHS
WALES

Bwrdd Iechyd
Addysgu Powys
Powys Teaching
Health Board

Information Governance Team
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Powys LD3 0LY

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Our ref: IG/FOI/FOI.333.26

17 September 2026

Sent via email to: [REDACTED]

Dear [REDACTED]

Request under the Freedom of Information Act 2000

I write further to your request for information which was received on 11 August 2026 and subsequent clarification received on 20 August 2026, to confirm, in accordance with S.1(1)(a) of the Freedom of Information Act 2000, that Powys Teaching Health Board (PTHB) does hold the information that you require. For ease of reference your request is set out below and the Health Board's response follows each question individually.

Your Freedom of Information (FOI) Request and Powys Response (Bold):

Under the Freedom of Information Act 2000, please provide the following information for the period 2021/22 to 2025/26.

To help with this request, where information is already published in Board papers, reports, audits or other public documents, I am happy to receive links, document references or summaries instead of duplicate copies.

Under Section 21 of the Freedom of Information Act, an organisation does not have to respond to a request if the information being sought is reasonably accessible to the applicant by another means. Section 21 is absolute and therefore does not require a public interest test. Powys Teaching Health Board (PTHB) has applied this exemption to your request as the information you are seeking is available in the public domain.

To comply with our obligation under Section 16 of the Act, the duty to provide help and assistance. I can confirm that some of the information requested is already publicly available on our website and links have been provided below each question.

Q1. Governance Issues and Concerns

Please provide details of any governance issues, governance concerns, control failures or significant weaknesses identified during this period.

Pencadlys
Tŷ Glasbury, Ysbyty Bronllys,
Aberhonddu, Powys LD3 0LU
Ffôn: 01874 711661

Headquarters
Glasbury House, Bronllys Hospital
Brecon, Powys LD3 0LU
Tel: 01874 711661

This should include concerns relating to:

- Financial management
- Clinical governance
- Risk management
- Procurement
- Decision-making
- Information governance
- Regulatory compliance

Please provide links, references, summaries or copies where available.

I can confirm that information requested in Q1 can be found here:

Annual reports: [Annual Reports, Annual Accounts and Annual Quality Statements - Powys Teaching Health Board](#)

Audit Risk and Assurance Committee: [Audit, Risk and Assurance Committee - Powys Teaching Health Board](#)

Q2. Concerns Raised by Staff, Partners or Stakeholders

Please provide details of concerns formally raised by:

- Employees
- Clinical staff
- Trade unions
- Partner organisations
- Local authorities
- Contractors
- Other stakeholders

relating to governance, leadership, patient safety, organisational performance or financial management.

Please provide summaries of the concerns and any actions taken.

Clarification Requested on 19 August 2026: This part of the request is broad and could include a wide range of concerns raised by different groups through a number of routes. Please clarify the specific type of concern you are seeking information about, the route through which the concern was raised, and/or the service, directorate or organisational area you wish us to search.

Clarification Received on 20 August 2026: I am seeking information relating to concerns formally raised through recognised governance, reporting, escalation or assurance routes concerning:

- Governance and decision-making.
- Financial management.
- Patient safety.
- Clinical governance.
- Service delivery.
- Workforce issues affecting service delivery.
- Organisational performance.

This includes concerns raised through:

- Formal escalation processes.
- Governance reporting.
- Speaking-up arrangements.

- Staff surveys.
- Trade union engagement.
- Partnership meetings.
- Formal correspondence from partner organisations.

I am not seeking individual employment matters, routine operational issues or personal complaints.

Whilst information relating to concerns raised by employees, clinical staff, trade unions, partner organisations, local authorities, contractors and other stakeholders may be held by the Health Board, the information is not centrally recorded or categorised in a manner that would enable it to be identified and retrieved within the appropriate cost limit.

Concerns may be recorded across a number of different systems, reporting routes and governance processes, including but not limited to Speaking Up/Raising Concerns arrangements, staff surveys, trade union and partnership discussions, governance reporting, corporate assurance processes and operational service records.

Furthermore, the categories specified in your request, including governance, leadership, patient safety, organisational performance and financial management, do not correspond to standard classification categories used within the Health Board's record management systems. Concerns may overlap multiple categories and would not be consistently coded or searchable under the headings requested.

To identify information falling within the scope of your request, this would require a manual trawl of associated records over the requested time period. We estimate that the cost of complying with your request would exceed the fees limit of £450 set by the Freedom of Information Act. Under section 12 of the Freedom of Information Act the Health Board is therefore not obliged to comply with this part of your request.

However, some of this information can be found within our Board and Committee papers which you may wish to consider: [Powys Teaching Health Board Committees - Powys Teaching Health Board](#)

Q3. Whistleblowing

Please provide:

- The number of whistleblowing concerns raised during each financial year.
- The general nature of the concerns raised.
- The outcome of investigations where recorded.
- Any actions taken as a result.

I am not requesting personal or identifiable information.

Protected disclosure ("Whistleblowing") forms part of our wider Speaking Up Safely approach to supporting staff to raise concerns. As concerns are not categorised specifically as protected disclosures, we do not hold information in the format you have requested. However, annual reports on Speaking Up Safely are presented to the People and

Culture Committee and the agenda and papers are available from our website: [Powys Teaching Health Board Committees - Powys Teaching Health Board](#)

Q4. Risk Escalation

Please provide details of significant organisational, operational, clinical or financial risks that were formally escalated during this period.

Where available, please include:

- Nature of the risk.
- Date escalated.
- Escalation route used.
- Actions taken.
- Current status.

Please identify any relevant reports and provide links, references, summaries or copies where available.

I can confirm that information requested in Q4 can be found within:

Annual reports: [Annual Reports, Annual Accounts and Annual Quality Statements - Powys Teaching Health Board](#)

Risk Register: pthb.nhs.wales/about-us/the-board/1/strategic-risk-register-march-2026/

Risk Management Framework: [Microsoft Word - Risk Management Framework May 2026](#)

Q5. Leadership Decisions and Organisational Impact

Please provide details of any reviews, audits, investigations or assessments that identified leadership decisions as contributing to:

- Financial deficits or overspends.
- Service disruption.
- Delays in service delivery.
- Increased organisational risk.
- Governance concerns.
- Patient safety concerns.
- Reputational concerns.

Please provide links, references, summaries or copies where available.

Clarification Requested on 19 August 2026: This question is too broad, please clarify and provide further information specifically detailing what information you are seeking.

Clarification Received on 20 August 2026: I am seeking recorded information contained within audits, reviews, investigations, assessments, Board papers or reports where leadership, executive or Board-level decisions were identified as contributing to:

- Financial deficits or overspends.
- Failure to achieve performance targets.
- Delays in service delivery.
- Significant governance concerns.

- Significant organisational risks.
- Significant patient safety concerns.
- Adverse audit findings.
- Regulatory concerns.

I am not seeking opinions, only recorded findings contained within existing documents.

Information requested in Q5 can be found here:

Annual reports: [Annual Reports, Annual Accounts and Annual Quality Statements - Powys Teaching Health Board](#)

Board meetings: [Board Meetings - Powys Teaching Health Board](#)

Annual Structured Assessment Report from Audit Wales- latest copy included in March 2026 Board papers: Paper 1.7aiii -

[pthb.nhs.wales/about-us/the-board/board-meetings/2026/25-march-2026/board-25-march-2026-agenda-and-...](#)

Q6. Audit Wales and Welsh Government Concerns

Please provide details of any:

- Warnings.
- Recommendations.
- Intervention measures.
- Governance concerns.
- Audit findings.
- Public interest reports.

issued by:

- Audit Wales.
- Welsh Government.
- Other relevant regulatory bodies.

Please provide links, references, summaries or copies where available.

Information relating to Audit Wales findings, recommendations and governance matters is publicly available via their website: [Publication | Audit Wales](#). Relevant information can also be found within PTHB Audit and Risk Assurance Committee (ARAC) papers and minutes.

Our [Annual Plan](#) set out the organisation's response to Welsh Government planning requirements and, where applicable, reference feedback, escalation arrangements and actions required by Welsh Government.

You may also wish to consider our Patient Experience Quality and Safety Committee – Integrated Quality Report which includes quality and clinical based information: [Patient Experience, Quality and Safety Committee - Powys Teaching Health Board](#)

Q7. Corporate and Clinical Risk Registers

Please provide details of significant entries recorded on:

- Corporate risk registers.

- Clinical risk registers.

This should include:

- Risk description.
- Risk rating where recorded.
- Mitigating actions.
- Current status.

Where full disclosure is not possible, please provide redacted summaries where appropriate.

Information requested in Q7 can be found within:

Annual reports: [Annual Reports, Annual Accounts and Annual Quality Statements - Powys Teaching Health Board](#)

Board meetings: [Board Meetings - Powys Teaching Health Board](#)

Risk Register: [pthb.nhs.wales/about-us/the-board/1/strategic-risk-register-march-2026/](#)

Q8. Board and Committee Consideration of Governance Issues

Please identify Board papers, committee reports and meeting minutes relating to:

- Governance concerns.
- Financial concerns.
- Risk management issues.
- Patient safety concerns.
- Organisational performance concerns.
- Regulatory findings.

Please provide links, references, summaries or copies where available.

Information requested in Q8 can be found within:

Annual reports: [Annual Reports, Annual Accounts and Annual Quality Statements - Powys Teaching Health Board](#)

Board meetings: [Board Meetings - Powys Teaching Health Board](#)

Better Together website: [Better Together - Powys Teaching Health Board](#)

Annual Structured Assessment Report from Audit Wales- latest copy included in March 2026 Board papers: Paper 1.7aiii -
[pthb.nhs.wales/about-us/the-board/board-meetings/2026/25-march-2026/board-25-march-2026-agenda-and-...](#)

Q9. Transparency, Public Confidence and Reputational Risk

Please provide details of any recorded work relating to:

- Public transparency.
- Organisational accountability.
- Public confidence.

- Reputational risk.
- Governance improvement.

Please identify any relevant reports, reviews, risk assessments or action plans and provide links, references, summaries or copies where available.

Information requested in Q9 can be found within:

Annual report: [Annual Reports, Annual Accounts and Annual Quality Statements - Powys Teaching Health Board](#)

Risk Register: pthb.nhs.wales/about-us/the-board/1/strategic-risk-register-march-2026/

Board meetings: [Board Meetings - Powys Teaching Health Board](#)

Better Together website: [Better Together - Powys Teaching Health Board](#)

PTHB Website: [Home - Powys Teaching Health Board](#)

Committee Papers: [Powys Teaching Health Board Committees - Powys Teaching Health Board](#)

Q10. External Reviews and Independent Assessments

Please provide details of any external reviews, independent investigations, audits or consultancy reports relating to:

- Governance.
- Leadership.
- Organisational performance.
- Accountability.
- Risk management.

Please provide links, references, summaries or copies where available.

Clarification Requested on 19 August 2026: This question is too broad, please clarify and provide further information specifically detailing what information you are seeking.

Clarification Received on 20 August 2026: I am seeking details of external reviews, independent assessments, audits and consultancy reports relating to:

- Governance.
- Leadership.
- Organisational performance.
- Financial management.
- Risk management.
- Patient safety.
- Service delivery.

I am content to receive document titles, references, links or summaries where available.

Information requested in Q10 can be found within:

Integrated Performance Report - paper 3.3- Integrated Quality and Performance report - pthb.nhs.wales/about-us/the-board/board-meetings/2026/29-july-2026/board-29-july-2026-agenda-and-pa...

Grant Thornton Report- GT report, paper 3.1c - pthb.nhs.wales/about-us/the-board/board-meetings/2026/25-march-2026/board-25-march-2026-agenda-and-...

Annual Structured Assessment Report from Audit Wales latest copy included in March 2026 Board papers: Paper 1.7aiii - pthb.nhs.wales/about-us/the-board/board-meetings/2026/25-march-2026/board-25-march-2026-agenda-and-...

Q11. Actions Taken Following Governance Concerns

Please provide details of actions taken in response to governance concerns identified during this period.

This should include:

- Changes to governance arrangements.
- Policy changes.
- Leadership changes.
- Staff training.
- Risk-management improvements.
- Audit recommendations implemented.

Information requested in Q10 can be found within:

Annual report: [Annual Reports, Annual Accounts and Annual Quality Statements - Powys Teaching Health Board](#)

Q12. Legacy Issues

Please provide details of any governance, leadership, operational or financial issues originating during 2019–2020 that continued to affect decision-making, risk management, organisational performance or service delivery between 2021 and 2026.

This should include:

- Outstanding audit recommendations.
- Historic governance concerns.
- Long-standing risks.
- Ongoing regulatory concerns.
- Previously identified organisational challenges.

Clarification Requested on 19 August 2026: This part of the request is broad as it could cover a wide range of historic governance, operational, financial, audit, regulatory or risk-related matters originating in 2019–2020 and continuing into later years. Please clarify and provide more detail so that we can identify whether relevant information is held.

Clarification Received on 20 August 2026: I am seeking information relating to governance, financial, operational or organisational issues originating during 2019–2020 which continued to have a material impact on Powys Teaching Health Board between 2021 and 2026.

This includes:

- Outstanding audit recommendations.
- Significant corporate or clinical risk register items.
- Financial recovery issues.
- Governance concerns.
- Workforce shortages.
- Regulatory concerns.
- Service redesign or transformation issues.
- Issues identified within Board papers, audits, risk registers or improvement plans

I am not seeking routine operational matters or day-to-day business activities.

Information requested in Q12 can be found within our Audit Findings Tracker which is reported to Audit Risk and Assurance Committee (ARAC):pthb.nhs.wales/about-us/the-board/committees-partnerships-and-advisory-groups/powys-teaching-health...

Q13. Supporting Information

Please identify any relevant:

- Board papers.
- Committee reports.
- Internal audit reports.
- External audit reports.
- Governance reviews.
- Assurance reports.
- Risk reports.
- Improvement plans.

Please provide links, references, summaries or copies where available.

Relevant published information is available through the links provided above. PTHB has not identified any additional centrally held document falling within the categories listed beyond the sources referenced in this response.

If you consider that any specific information requested has not been addressed in this response, you may submit a new request clearly identifying the additional information you require.

If you are dissatisfied with the handling or response to your request, you have the right to ask for an internal review. Should you wish an internal review, please quote the reference number and send your correspondence to the above address.

If you are not content with the outcome of the internal review, you have the right to apply directly to the Information Commissioner for a decision. The Information Commissioner can be contacted at:

Address: Information Commissioner's Office (Wales), 2nd Floor, Churchill House, Churchill Way, Cardiff, CF10 2HH.

Telephone: 0330 414 6421

Complaints Portal: www.ico.org.uk/foicomplaints

Web site: <https://ico.org.uk/>

Re-use of Public Sector Information

All information supplied by the Health Board in answering a request for information (RFI) under the Freedom of Information Act 2000 will be subject to the terms of the Re-use of Public Sector Information Regulations 2015.

Under the terms of the Regulations, the Health Board will licence the re-use of any or all information supplied if being used in a form and for the purpose other than which it was originally supplied. This license for re-use will be in line with the requirements of the Regulations and the licensing terms and fees as laid down by the Office of Public Sector Information (OPSI). Most licenses will be free; however, the Health Board reserves the right, in certain circumstances to charge a fee for the re-use of some information which it deems to be of commercial value.

Further information including a sample license terms and fees can be found at Open Government Licence.

Yours sincerely

Electronically checked and approved by:

Adrian Osborne

Deputy Director (Engagement, Communication and Corporate Governance)

Rydym yn croesawu derbyn gohebiaeth yng Nghymraeg. Byddwn yn ateb y fath ohebiaeth yng Nghymraeg ac ni fydd hyn yn arwain at oedi.

We welcome receiving correspondence in Welsh. We will reply to such correspondence in Welsh and this will not lead to a delay.