

Our ref: IG/FOI/FOI.069.26

16 March 2026

Sent via email to: [REDACTED]

Dear [REDACTED]

## **Request under the Freedom of Information Act 2000**

I write further to your request for information which was received on 17 February 2026 and subsequent clarification received on 17 February 2026, to confirm, in accordance with S.1(1)(a) of the Freedom of Information Act 2000, that Powys Teaching Health Board (PTHB) partly holds the information that you require, but have applied an exemption to elements of this request. For ease of reference your request is set out below and the Health Board's response follows each question individually.

### Your Freedom of Information (FOI) Request:

I am writing to request information under the Freedom of Information Act 2000. Please provide the following information in relation to Cardiff and Vale University health board, with the information broken down into individual health boards:

1. The total number of patient confidentiality breaches (including data breaches or unauthorised disclosures of patient information) recorded in each of the last five financial years.
2. A breakdown of these breaches by financial year.
3. Where recorded, the general category of breach (for example: human error, lost paperwork, IT-related incident, unauthorised access).
4. The number of breaches in each year that were reported to the Information Commissioner's Office (ICO).
5. The number of members of staff who were formally investigated for an alleged breach of patient confidentiality in each of the last five financial years.
6. Where recorded, the number of those investigations that resulted in:
  - o No further action

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Tŷ Glasbury, Ysbyty Bronllys,  
Aberhonddu, Powys LD3 0LU  
Ffôn: 01874 711661

Headquarters  
Glasbury House, Bronllys Hospital  
Brecon, Powys LD3 0LU  
Tel: 01874 711661

- Informal action or retraining
- Formal disciplinary action

I do not require any personal data or information that could identify individual members of staff.

Clarification Requested:

We have received your Freedom of Information request. Please can you confirm if your request was intended for Powys Teaching Health Board (PTHB), as it was addressed to Cardiff & Vale University Health Board. If your request was intended for Cardiff & Vale University Health Board, they can be contacted via: [FOI.Requests@wales.nhs.uk](mailto:FOI.Requests@wales.nhs.uk) If your request is for PTHB, please note we can only provide information relating to our organisation. Requests for data for other Health Boards must be directed to them individually.

Clarification Response:

My apologies, I have sent the same FOI message to all health boards in Wales and must have forgotten to change the health board name in my email to you. This request was intended for Powys.

Powys Response:

PTHB records all Information Governance (IG) related concerns as "incidents" in the first instance on our Incident Management System Datix. This includes any situation where there is a possibility that personal data may have been disclosed, accessed inappropriately, misdirected, or otherwise put at risk. Each incident is assessed and investigated by the IG Team. An incident is only formally classified as a "breach" where the investigation confirms that personal data was actually compromised, or where the circumstances meet the national threshold for reporting to the Information Commissioner's Office (ICO). Many incidents reviewed by the Health Board do not reach this threshold and therefore do not constitute a "breach". For this reason, the number of IG incidents recorded on Datix should not be interpreted as the number of confirmed data breaches when reviewing our response and reports on our public facing website:

Q1 – Q4. Although PTHB holds underlying incident-level information, the aggregated breach data requested is not held in a reportable form. The information that is available is already published within Board and Committee papers as referenced above and provided in links below.

Under Section 21 of the Freedom of Information Act, PTHB is not required to re-provide information that is otherwise accessible to you. This exemption has therefore been applied to those elements of your request for which information is available via published Board and Committee papers.

In accordance with our duty to provide advice and assistance under Section 16 of the Act, we can confirm that relevant information is available through the publications listed below:

[Annual Reports, Annual Accounts and Annual Quality Statements - Powys Teaching Health Board](#)

Information can also be found within Audit, Risk and Assurance Committee as well as Delivery and Performance Committee (renamed Finance and Performance Committee from 2025) via the following links. The table below identifies the page references within Board and Committee Papers where IG incident/breach information can be located for each period

March 2025 onwards: [Audit, Risk and Assurance Committee - Powys Teaching Health Board](#)

2023-2024: [Archive Committee Papers - Audit, Risk and Assurance Committee - Powys Teaching Health Board](#)

2020-2023: [Archive Committee Papers - Delivery and Performance Committee - Powys Teaching Health Board](#)

Link to Report	Date	Q1 Number of Breaches	Q3 General Category of Breach	Q4 Incidents Reported to ICO
Not yet available	March 2026			
<a href="#">Audit, Risk and Assurance Committee</a>	13 January 2026	Page 187 / 207	Page 187/207	Page 187/ 207
<a href="#">Audit, Risk and Assurance Committee</a>	7 October 2025	Page 249/358	Page 249/ 358	Page 249/358
<a href="#">Audit, Risk and Assurance Committee</a>	17 June 2025	Page 260/430	Page 260/430	Page 260/430
<a href="#">Audit, Risk and Assurance Committee</a>	13 May 2025	Page 442/682		Page 442/682
<a href="#">Audit, Risk and Assurance Committee</a>	11 March 2025	Page 119/279	Page 119/279	Page 119/279
<a href="#">Audit, Risk and Assurance Committee</a>	08 October 2024	Page 171/197	Page 171/197	
<a href="#">Audit, Risk and Assurance Committee</a>	09 July 2024	Page 136/485	Page 136/485	Page 136/485
<a href="#">Audit, Risk and Assurance Committee</a>	14 May 2024	Page 205/519	Page 205/519	Page 205/519
<a href="#">Audit, Risk and Assurance Committee</a>	21 July 2023			Page 126/495
<a href="#">Audit, Risk and Assurance Committee</a>	16 May 2023			Page 216/445
<a href="#">Delivery and Performance Committee</a>	1 November 2021	Page 219/244 Also 228	Page 228	Page 219/244 Also 228
<a href="#">Delivery and Performance Committee</a>	2 September 2021	Page 230/ 374 Page 238/374	Page 238	Page 230/ 374/ 238
<a href="#">Annual Report 2024 - 2025</a>	2024/2025	Page 135/ 274	Page 135 / 274	Page 135 / 274
<a href="#">Annual Report 2023 - 2024</a>	2023/2024	Page 114/ 243	Page 114 / 243	Page 114 / 243

<a href="#">Annual Report 2022 – 2023</a>	2022/2023			Page 94/ 224
<a href="#">Annual Report 2021 - 2022</a>	2021/2022	Page 150/ 277	Page 150/ 277	Page 150/ 277
<a href="#">Annual Report 2020 - 2021</a>	2020/2021		Page 136/ 263	Page 136/ 263

Q5-Q6: PTHB does not centrally record the total number of staff formally investigated for alleged confidentiality breaches (Q5), nor the outcomes of such investigations (Q6). These investigations may be triggered by a number of different methods such as the National Intelligent Integrated Audit Solution (NIIAS) alerts, complaints, or concerns raised by staff, patients or members of the public. These processes are managed across different departments and not maintained as a single dataset. Therefore, under Section 1(1)(a), PTHB does not hold the information requested.

If you have queries or any concerns, contact details are given at the top of the letter. Please remember to quote the reference number above in any future communications. If you are dissatisfied with the handling or response to your request and wish to ask for a review of this, please contact us and we will arrange for this to be done.

Further information is available from the Information Commissioner’s Office who can be contacted at:

Address: Information Commissioner’s Office (Wales), 2nd Floor, Churchill House, Churchill Way, Cardiff, CF10 2HH.

Telephone: 0330 414 6421

Complaints Portal: [www.ico.org.uk/foicomplaints](http://www.ico.org.uk/foicomplaints)

Web site: <https://ico.org.uk/>

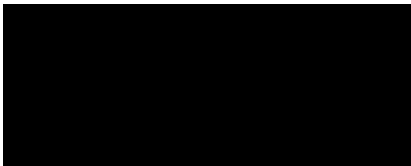
### **Re-use of Public Sector Information**

All information supplied by the Health Board in answering a request for information (RFI) under the Freedom of Information Act 2000 will be subject to the terms of the Re-use of Public Sector Information Regulations 2015.

Under the terms of the Regulations, the Health Board will licence the re-use of any or all information supplied if being used in a form and for the purpose other than which it was originally supplied. This license for re-use will be in line with the requirements of the Regulations and the licensing terms and fees as laid down by the Office of Public Sector Information (OPSI). Most licenses will be free; however, the Health Board reserves the right, in certain circumstances to charge a fee for the re-use of some information which it deems to be of commercial value.

Further information including a sample license terms and fees can be found at Open Government Licence.

Yours sincerely



**Helen Bushell**

**Director of Corporate Governance/Board Secretary**

Rydym yn croesawu derbyn gohebiaeth yng Nghymraeg. Byddwn yn ateb y fath ohebiaeth yng Nghymraeg ac ni fydd hyn yn arwain at oedi.

We welcome receiving correspondence in Welsh. We will reply to such correspondence in Welsh and this will not lead to a delay.